# As-built modeling of piping system from terrestrial laser-scanned point clouds using normal-based region growing

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#### Abstract

Recently, renovations of plant equipment have been more frequent because of the shortened lifespans of the products, and as-built models from large-scale laser-scanned data is expected to streamline rebuilding processes. However, the laser-scanned data of an existing plant has an enormous amount of points, captures intricate objects, and includes a high noise level, so the manual reconstruction of a 3D model is very time-consuming and costly. Among plant equipment, piping systems account for the greatest proportion. Therefore, the purpose of this research was to propose an algorithm which could automatically recognize a piping system from the terrestrial laser-scanned data of plant equipment. The straight portion of pipes, connecting parts, and connection relationship of the piping system can be recognized in this algorithm. Normal-based region growing and cylinder surface fitting can extract all possible locations of pipes, including straight pipes, elbows, and junctions. Tracing the axes of a piping system enables the recognition of the positions of these elements and their connection relationship. Using only point clouds, the recognition algorithm can be performed in a fully automatic way. The algorithm was applied to large-scale scanned data of an oil rig and a chemical plant. Recognition rates of about 86%, 88%, and 71% were achieved straight pipes, elbows, and junctions, respectively.

Keywords: Laser scanning; Object recognition; As-built model; Piping system; Point clouds

#### 1. Introduction

Recently, because of the short lifespans of plant products, renovations of plant equipment have been more frequent. However, the results of the renovations are not necessarily recorded in the plant drawings in many cases. Thus, unintended collisions between existing equipment and newly designed ones often take place in the construction stage. This causes additional costs and labor.

The performance of terrestrial laser scanners has been rapidly developing, and shapes of objects in environments can be easily captured as 3D point clouds. With these laser-scanned point clouds of existing plants, an as-built model of the plant equipment could be reconstructed. Once the model is reconstructed, the unintended works could be pre-checked on computers and avoided in the planning stage.

However, the laser-scanned data of existing plants have massive point clouds, include a large amount of noise, and capture tangled objects. Therefore, recognizing each plant component from the point cloud, including the tangled objects, and constructing a 3D model of the plants are nearly

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impossible or very time-consuming when done in an interactive way. Thus, automation of the recognition and 3D model construction processes from point clouds need to be strongly promoted in the plant engineering field.

Plants consist of many types of components. One of the more important components is a piping system, which accounts for the greatest proportion and is renovated frequently. A piping system consists of various elements and their connection relationships: straight pipes, connecting parts such as junctions and elbows, and attached parts such as indicators and valves.

Several studies have been proposed to recognize piping systems from laser-scanned point clouds. However, these algorithms cannot be applied to the point clouds that have already been registered and the intensity, or that can only recognize few classes of piping systems. Masuda et al. [1] proposed a method which could recognize planes and cylinders from the scanned data of plants. However, it required the combination of a scanned point and reflected intensity from a scanner. Rabbaini et al. [2] proposed a method that reconstructed a 3D primitive model from the combination of a point cloud and a photograph taken from the same location. However, the method needed a pair of point cloud data and a photo shot from the scanner position. Piping systems often occupy a broad area of plants, and multiple scans and their

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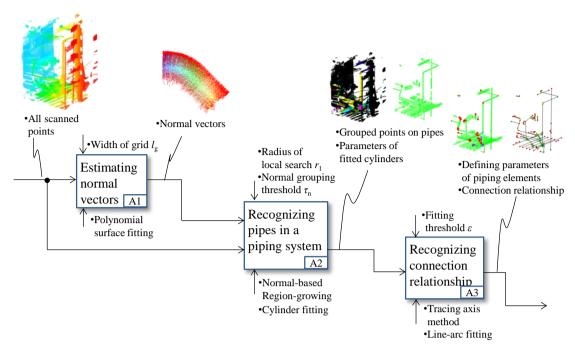


Figure 1. The overview of the proposed piping system recognition algorithm.

registration are inevitable to obtain a point cloud covering the whole shape of the system. Therefore, it is probable that these algorithms cannot be applied to a registered point cloud generated from multiple scans. Aurelien et al. [3] proposed a method which fits an optimized cylinder model to the scanned points using an a priori CAD model of plants. However, 3D cylinder models, which have similar radii and axis directions, have to be placed near the points on pipes manually. Johnson et al. [4] proposed a method which matches a 3D CAD model in a database to a point cloud using a spin image. However, it is difficult to prepare an exact 3D model of straight pipes because their lengths are not fixed. Namatame et al. [5] proposed a method which recognized points on pipes under the assumption of Manhattan World Grammar. However, the algorithm could only recognize the straight portion of a piping system. Recently, Lee et al. [6] proposed a method which recognized straight pipes, elbows, and junctions from points on a piping system using the voronoi diagram. However, in their algorithm, the input point cloud only included the pipes themselves, and did not include any unwanted parts, such as flanges or valves, or supporting members, thus sacrificing generality. Belton et al. [7] and Rabbani et al. [8] proposed methods that classified and partitioned the scanned points of plants into those on planar surfaces and on cylindrical surfaces using covariance analysis and using a combination of normal-based region growing and plane fitting. However, points on elbows and junctions and the connectivity of the piping systems were not identified. Vosselman et al. [9] proposed a method using 3D Hough transforms for recognition. However, only straight pipes are recognized in the piping system. El-Harawany et al. [10] proposed a method that identified cylinders from scanned points of polelike objects on a road side using a combination of eigenbased segmentation, linear feature extraction, and cylinder fitting. However, if applied to scanned points of plants, only straight pipes could be extracted from them. Marshall et al. [11] proposed a non-linear least-square-based method where scanned points of general objects are segmented into spheres, cylinders, cones, and tori. However, the method dealt only with the point clouds of relatively simple-shaped objects, so it could not work well when applied to the tangled objects in plants.

Therefore, the purpose of this research was to propose a new algorithm that could automatically recognize piping elements consisting of straight pipes and connecting parts and their connection relationships only from raw laser-scanned point clouds of a whole plant, which includes point clouds other than the piping system. The algorithm was tested for large-scale laser-scanned point clouds of a real plant, and the recognition accuracy of the straight pipes and connecting parts were verified.

We have already proposed a similar recognition algorithm of piping systems, but it had some problems [12]. In the process of extracting points on straight pipes, a delicate parameter setting of the searching radius was needed. The parameter should be set in relation to a pipe radius on which the point lies. Therefore, if there is a great distinction among the radii of pipes in the plant, then most of the pipes cannot be extracted. Moreover, the algorithm could not extract some points around non-straight pipes such as supporting materials, attached parts, and junction parts on a straight pipe. Therefore, if tangled pipes are concentrated in a small area, these pipes would not be recognized.

To solve these problems, this paper introduces a normal-

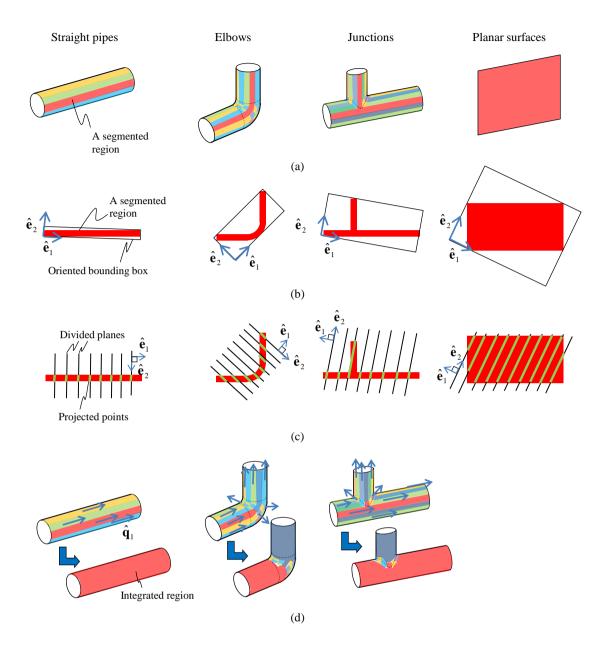


Figure 2. Recognizing pipes of a piping system: (a) normal-base region growing, (b) oriented bounding box, (c) median of ranges of projected points, (d) integrated region.

based region growing which automates the extraction of points on a piping system and segmentation of points into each pipe. By introducing the algorithm, almost all points of pipes can be extracted without calculating the normal tensor. With this method, great improvement was achieved in the recognition rates of straight pipes, junctions, and elbows than with previous methods [12].

# 2. Algorithm overview

As shown in Figure 1, the proposed recognition algorithm consists of three processes. First, the normal vector of every scanned point is estimated using grid cells and quadratic

polynomial surface fitting. Next, the points on each pipe are extracted using normal-based region growing, and their radii and positions are estimated by cylindrical surface fitting. Finally, the connection relationships among the extracted pipes are recognized by tracing and interpolating axes of the recognized pipes.

# 3. Estimating normal vectors

In order to reduce computational costs of normal vectors for a huge set of scanned points, a grid whose cell has a width  $l_g$  is placed to cover the whole scanned space. Each grid cell keeps scanned points inside the cell. In the algorithm,

 $l_g$  is recommended to be set as one third of the smallest pipe radius  $r_{min}$  to be dealt with in the piping system.

Next, in order to estimate the normal vectors of all scanned points, for each grid cell i, the covariance matrix  $C_i$  is first calculated by equation (1).

$$C_{i} = \frac{1}{|\{p_{j}\}|} \sum_{j=1}^{|\{p_{j}\}|} (p_{j} - b_{i})^{T} (p_{j} - b_{i})$$
(1)

where  $\{p_i\}$  is a set of position vectors of the points inside the cell i and its one-neighboring 26 grid cells, and  $b_i$  is an average position vector of  $\{p_i\}$ . The eigenvalues  $\lambda_1 \lambda_2 \lambda_3 (\lambda_1 \ge \lambda_2 \ge \lambda_3 \ge 0)$  and corresponding eigenvectors  $e_1, e_2, e_3$  are obtained by an eigenvalue analysis of  $C_i$ . If  $l_{\sigma}$  is sufficiently smaller than the radius of the pipe, the points  $\{p_i\}$  are approximately distributed on a plane, and the eigenvectors  $e_1$  and  $e_2$  indicate the principal axes placed on the plane. Therefore, the vector  $e_3$  approximates the normal vectors at all points  $\{p_i\}$ . Thus,  $e_3$  is adopted as an initial normal vector  $n_i'$ . Next, a local orthogonal coordinate system u - v - w whose origin is placed at  $b_i$  with  $u//e_1$ ,  $u//e_2$ . and  $w//n_i$  is selected. Then,  $\{p_i\}$  are projected onto the plane u - v. An explicit quadratic polynomial surface w = h(u, v) of equation (2) is then fitted to  $\{p_i\}$  using the least squares method.

$$w = h(u, v) = a_0 u^2 + a_1 u^2 + a_2 uv + a_3 u + a_4 v + a_5$$
 (2)

Finally,  $\{p_j\}$  are projected onto the surface to obtain their projected points  $\{p'_j\}$ , and the normal vector of  $\mathbf{w} = \mathbf{h}(u, v)$  at every projected point  $\mathbf{p}'_i$  in the original coordinate frame (x, y, z) is estimated as a normal vector  $n_i$  of  $p_i$ .

#### 4. Recognizing pipes in a piping system

#### 4.1 Extracting points on a piping system

In order to extract points on a piping system from all scanned points P, a normal-based region growing was developed. First, the normal-based region growing is applied. In the region growing, a seed point  $p_s \in P$  is chosen from P at random. Then, the points  $\{p_i|p_i \in P, p_i \in N(p_s, r_1), ||n_s \cdot n_i|| > \tau_n\}$  are extracted and added to a region, where  $N(p_s, r_1)$  is a set of neighboring scanned points contained in the sphere of the radius  $r_1$  centered at  $p_s$ . The threshold  $\tau_n$  was set to 0.97 based on our experiments. Each of the added points is then chosen as a new seed point, and the other points satisfying the same condition are progressively added to the region. These steps are iterated until any points satisfying the condition exist in the neighborhood of the seed points.

After the region-growing process, a covariance matrix is calculated for all points in every region  $\{p_j\}$ , and eigenvalues  $\hat{\lambda}_1, \hat{\lambda}_2, \hat{\lambda}_3(\hat{\lambda}_1 \geq \hat{\lambda}_2 \geq \hat{\lambda}_3 \geq 0)$  and corresponding eigenvalues

genvectors  $\hat{e}_1$ ,  $\hat{e}_2$ ,  $\hat{e}_3$  are obtained. An oriented bounding box which aligns to the vectors  $\hat{e}_1$ ,  $\hat{e}_2$ ,  $\hat{e}_3$  is fit to the points  $\{\mathbf{p}_n\}$ . As shown in Figure 2(a) and Figure 2(b), if the scanned points exist on straight pipes, the regions take the shape of thin strips placed along the pipe axis, and the vector  $\hat{e}_1$  approximates the axis direction of the pipe. If the points exist on elbows or junctions, the region takes the concatenated shape of thin straight strips and thin circular strips. In contrast, if the points exist on wide planar surfaces such as floors or walls, the region takes the shape of a broad width.

Then, as shown in Figure 2(c), several numbers of dividing planes whose normal vectors are equal to  $\hat{\mathbf{e}}_1$  are placed at regular intervals, and the points in  $\{\mathbf{p}_n\}$  are projected onto the nearest plane. Moreover, on each plane, the set of the projected points is further projected onto the line parallel to  $\hat{\mathbf{e}}_2$ , and the range of the projected points on the line is evaluated. If the median value of the ranges  $\hat{d}$  satisfy  $\hat{d} < \tau_w$ , where  $\tau_w$  is a threshold, the regions are classified as points on the piping system, such as straight pipes, elbows, and junctions. Otherwise, they are classified as points on the other components, such as planar surfaces. As for the threshold,  $\tau_w$  was set as  $2.0 \cdot \tau_{max} \sin \cos^{-1}(\tau_n)$  from a simple geometric relation, where  $\tau_{max}$  is the radius of the largest pipe in the measured environment.

For parts of regions on elbows or junctions, the vector  $\hat{\mathbf{e}}_1$  is not coincident with the axes vectors of the pipes. Therefore, to modify the segmentation, a line is fitted to each region using RANSAC. The number of sampling in the RANSAC is set as 50, and the outlier threshold to the distance between a point in the region and the fitted line is set as  $\hat{d}/2.0$ .

Finally, the points whose distance to the fitted line was less than the outlier threshold were chosen and segmented as one region. The remaining points will be segmented iteratively using the above region-growing process.

#### 4.2 Grouping points on a pipe segment

In order to integrate the segmented regions into a new single region which belong to a same pipe, the constraint region-growing is further applied to points on the piping system.

First, as shown in Figure 2(d), a covariance matrix E is calculated for the set of points in a region, and the eigenvector  $\hat{q}_1$  corresponding to the largest eigenvalue of E is assigned to all scanned points in the region.

Next, a seed point  $\mathbf{p}_s$  is chosen from the points on a piping system at random. Then, the other points  $\mathbf{p}_t$  which satisfy  $\|\widehat{\mathbf{q}}_{1,s}\cdot\widehat{\mathbf{q}}_{1,i}\| > \tau_q$  contained in neighboring points  $N(\mathbf{p}_s, r_1)$  centered at  $\mathbf{p}_s$  are added into the region.  $\tau_q$  was set to be 0.95 based on our experiments. Each of the added points is next chosen as a new seed point.

The above steps are iterated until any points satisfying the condition appear in the neighborhood of the seed points.

# 4.3 Extracting the pipe parameters

After grouping points on the pipe segments, a cylinder is

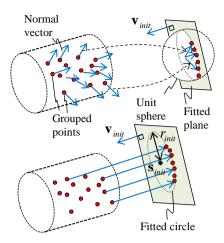


Figure 3. Initial cylinder fitting.

fitted to each pipe region, and the axis of the pipe and its radius are estimated.

As shown in Figure 3, for each region, normal vectors of the grouped points are first projected to a unit sphere surface. Then, a plane is fitted to the projected normal vectors using RANSAC, and the normal vector of the plane is determined as an initial axis vector of the cylinder  $\mathbf{v}_{init}$ . The number of sampling in the RANSAC is set as 50, and the outlier threshold to the inner product of normal vectors is set as 0.01. Then, all points in the region are projected onto the fitted plane, and a circle is fitted to the projected points by the Least Median of Squares (LMedS) [13].

The number of sampling in the LMedS is set as 50. The center point and the radius of the circle are determined as the point on the axis  $s_{init}$  and the initial radius  $r_{init}$  of the cylinder.

Next, a cylinder is precisely fitted onto the points by the Levenberg-Marquardt method [14]. In this method, parameters of a cylinder model are expressed as seven variables  $(\alpha, \beta, \gamma, a, b, c, r)$ , where  $(\alpha, \beta, \gamma)$  is the unit axis vector of the cylinder, (a, b, c) is the point coordinates on the axis, and r is the cylinder radius. Finally, an objective function of the cylinder fitting is expressed as equations (3), (4), and (5).

$$\frac{1}{N} \sum_{i=0}^{N-1} (f_i - r)^2 \to \min$$
 (3)

$$f_i = \sqrt{m^2 + n^2 + o^2} \tag{4}$$

$$m = \gamma(y_j - b) - \beta(z_i - c)$$
  

$$n = \alpha(z_i - c) - \gamma(x_i - a)$$
  

$$o = \beta(x_i - a) - \alpha(y_i - b)$$
(5)

where  $(x_i, y_i, z_i)$  is a position vector of the grouped point. Then, based on the obtained radii r from equation (3), outlier points which satisfy  $(r < r_{min}) \lor (r > r_{max})$  are removed from the points on the piping system.

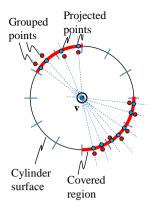


Figure 4. Point coverage of cylinder.

If the grouped points cover a small angle of the cylinder surface, the parameters of a fitted cylinder can contain aberrant values. In order to confirm whether the fitted cylinder is reliable or not, as shown in Figure 4, the cylinder surface is equiangularly divided into regions by angle  $\theta$ , and scanned points are projected onto the corresponding divided cylindrical regions. If a number of regions m which contain the projected points do not satisfy  $m\theta > \tau_{\theta}$ , the points are removed from the points on pipes, where  $\theta$  is  $\pi/36$  and  $\tau_{\theta}$  is  $\pi/2$ .

# 5. Estimating axes and recognizing elements of a piping system

#### 5.1 Tracing the axes of a pipeline

In the result of Section 4.2, points on elbows have been divided into several regions, and a number of cylinders are fitted to an elbow. Thus, it is difficult to estimate the true axes of elbows using the fitted cylinder axes. In order to correct the axes of these parts, axes of the piping system are traced using regions of a pipe and their pipe parameter.

As shown in Figure 5, for each pipe region, a sphere is first centered at a midpoint of axis segment  $s_i$ , where the radius of the sphere is set as 1.2 times the fitted cylinder radius r. Then, on both sides of  $s_i$ , points on the piping system  $\{\mathbf{p}_k\}$  nearly existing on the sphere are collected, and a set of offset points  $\{\mathbf{p}'_k\}$  is generated as  $\mathbf{p}'_k = \mathbf{p}_k + r\mathbf{n}_k$ , where  $\mathbf{n}_k$  is a normal vector towards the inside of the pipe. Then, an average point of these offset points  $\mathbf{d}$  is determined as an axis point of the pipe. These processes are repeated until points on the piping system exist on the sphere, and an axis point sequence  $[\mathbf{d}_1, \mathbf{d}_2, \cdots, \mathbf{d}_n]$  is obtained for each region of the pipe.

However, in this method, as shown in Figure 6(a), when an axis point reaches a junction, only the axis point on either of the branches can be traced, and an untraced axis necessarily remains. Therefore, non-traced axes can remain. In order to recognize these untraced axes, as shown in Figure 6(b), each region is traced once again but limited to trace only the points

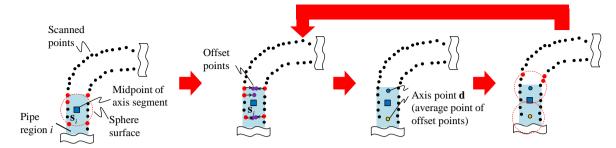


Figure 5. Tracing axes of a piping system.

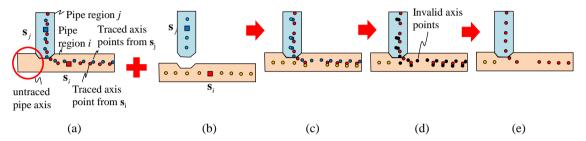


Figure 6. Tracing the axis points: (a) tracing points of all region, (b) tracing points of own region, (c) combining the two results, (d) erasing the duplicated points, (e) obtaining axis point sequences.

of their own region in the trace. After re-tracing the axes, as shown in Figure 6(c), a part of the obtained axis point sequence is duplicated. To delete these duplicated axis points, as shown in Figure 6(d), for each axis point  $\mathbf{d}$ , if other axis points obtained in the other steps exist in the vicinity of an axis point  $\mathbf{d}$  within the distance r, these axis points are erased, as shown in Figure 6(e).

#### 5.2 Continuous arc-line fitting

After recognizing the axis point sequence of the piping system, lines and arcs are alternatively fit to the points using a previous algorithm [15].

As shown in Figure 7, a plane Q passing three points  $\mathbf{d}_i, \mathbf{d}_{i+i}, \mathbf{d}_{j+1}(i+1 < j)$  is first defined, and the axis points in the sequence  $\left[\mathbf{d}_i, \cdots, \mathbf{d}_{j+1}\right]$  are projected onto the plane, and the projected point sub-sequence  $\left[\mathbf{d}'_i, \cdots, \mathbf{d}'_{j+1}\right]$  is obtained. Next, lines  $l_1 = \overline{\mathbf{d}'_i \mathbf{d}'_{i+1}}, \ l_2 = \overline{\mathbf{d}'_j \mathbf{d}'_{j+1}}$  and their intersection point  $\mathbf{L}$  are calculated. Then, for each projected point  $\mathbf{d}'_k \in \{\mathbf{d}'_{i+1}, \cdots, \mathbf{d}'_j\}$ , a circle C, which is tangent to  $l_1, l_2$  and  $\mathbf{d}'_k$ , is calculated as follows.

A tangent point  $\mathbf{M}_1$  between C and  $l_1$  satisfies equation (6):

$$R = \|\mathbf{c} - \mathbf{d}_k'\| = \|\mathbf{c} - \mathbf{M}_1\| \tag{6}$$

where **c** is a center point of C and R is a radius of C. Using the geometric relations of  $\mathbf{c} = \mathbf{L} + \lambda \mathbf{t}$  and  $\mathbf{M}_1 = \mathbf{d}_i' + \langle \mathbf{c} - \mathbf{d}_i', \mathbf{v} \rangle \mathbf{v}$ , where **t** is a directional vector of the bisector line of  $l_1$  and  $l_2$ , the equation (6) can be rewritten as equations (7) and (8).

$$(\|\mathbf{w}\|^{2} - \|\mathbf{t}\|^{2})\lambda^{2} + 2\{(\mathbf{w} \cdot \mathbf{y}) - (\mathbf{t} \cdot \mathbf{z})\}\lambda + (\|\mathbf{y}\|^{2} - \|\mathbf{z}\|^{2}) = 0$$
 (7)

$$\mathbf{w} = \mathbf{t} - (\mathbf{t} \cdot \mathbf{v})\mathbf{v}$$

$$\mathbf{y} = \mathbf{L} - \mathbf{d}'_i - \{(\mathbf{L} - \mathbf{d}'_i) \cdot \mathbf{v}\}\mathbf{v}$$

$$\mathbf{z} = \mathbf{L} - \mathbf{M}$$
(8)

where  $\mathbf{v}$  is a directional vector of  $l_1$ . The largest solution of the quadratic equation (7) gives the center point of  $\mathbf{c}$  and the radius R of C. From the solution, a line segment  $l' = \overline{\mathbf{d}_1' \mathbf{M}_1}$  and an arc segment  $a' = \overline{\mathbf{M}_1 \mathbf{M}_2}$  are obtained.

If any segment does not reach the last axis point  $\mathbf{d}_n$ , the tangent point  $\mathbf{M}_2$  between C and  $l_2$  is inserted as a new axis point, and a new line and arc segment are fitted onto the remaining axis points  $[\mathbf{M}_2, \mathbf{d}'_{l+1}, \cdots, \mathbf{d}'_n]$ .

However, arc segments with very large radii are sometimes fit to axis points of straight pipes. To correct these results, if

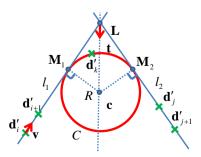


Figure 7. Arc-line fitting to an axis point sequence.

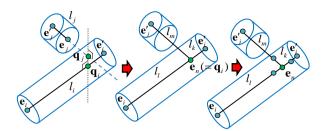


Figure 8. Interpolation of junctions.

consecutive line-arc-line segments are nearly collinear, the three segments are replaced with one line segment.

#### 5.3 Complementing line segments on the same straight pipe

First, pairs of nearly collinear axis segments  $l_i = \overline{\mathbf{e}_i \mathbf{e}_i^r}$  and  $l_j = \overline{\mathbf{e}_j \mathbf{e}_j^r}$ , which satisfy  $|\mathbf{u}_i \cdot \mathbf{u}_j| > \tau_{inner}$  and  $|\mathbf{u}_i \cdot \mathbf{e}_{ij}| > \tau_{inner}$ , are selected, where  $\mathbf{e}$  and  $\mathbf{e}'$  are edge points of the axis segment,  $\mathbf{u}$  is a unit direction vector of the line segment, and  $\mathbf{e}_{ij}$  is a unit vector of a line connecting the two edge points  $\mathbf{e}_i$  and  $\mathbf{e}_j$ . The threshold  $\tau_{inner}$  was set to be 0.98.

If the distance between  $\mathbf{e}_i$  and  $\mathbf{e}_j$  is less than  $\mathbf{\tau}_l$ , the two segments  $l_i$  and  $l_j$  are replaced with a new line segment  $l_k = \overline{\mathbf{e}_i'\mathbf{e}_j'}$ . Moreover, if any arc segment is connected with  $\mathbf{e}_i$  or  $\mathbf{e}_j$ , a junction may be falsely recognized as an arc segment. In this case, the arc segment is removed.

#### 5.4 Complementing line segments on the junction

After the complement of line segments on the same straight pipe, junctions that connected three pipes are identified.

As shown in Figure 8, first, a pair of line segments  $l_i = \overline{\mathbf{e}_i \mathbf{e}_i'}, \ l_i = \overline{\mathbf{e}_i \mathbf{e}_i'}, \ \text{which satisfy} \ |\mathbf{q}_i \cdot \mathbf{q}_i| < \tau_{skew} \ \text{and}$  $|\mathbf{e}_i' - \mathbf{e}_i| > |\mathbf{q}_i - \mathbf{e}_i|$ , are selected, where  $\mathbf{q}_i$  and  $\mathbf{q}_i$  are position vectors of the intersection points of the common perpendicular line of two lines  $L_i$  and  $L_j$ , which respectively passed through edge points  $\mathbf{e}_i$  and  $\mathbf{e}_i$ , and are collinear to line axis segment  $l_i$  and  $l_j$ .  $\tau_{skew}$  is a threshold for the skew distance between  $L_i$  and  $L_i$ . Then, if the segment pair gives a distance less than the pipe radius of line segment  $r_i$ , a new edge point  $\mathbf{e}_n$  is placed at  $\mathbf{q}_i$ . Also the segments  $l_i$  and  $l_j$ are replaced with the new segments  $l_k = \overline{\mathbf{e}_n \mathbf{e}_i'}$ ,  $l_l =$  $\overline{\mathbf{e}_n \mathbf{e}_l}$  and  $l_m = \overline{\mathbf{e}_n \mathbf{e}_l}$ . After the complement, the segments  $l_k$ ,  $l_l$ , and  $l_m$  are respectively divided into two segments at a place of distance r' from  $\mathbf{q}_i$ , where r' is set as 1.1 times that of  $r_i$ . The newly divided segments which connected  $\mathbf{q}_i$ are determined as a junction segment.

#### 5.5 Complementing arc segments on the elbow

If some points on the elbow are missing due to occlusions, the axis of the elbow cannot be traced completely. In that case, an arc segment is inserted between a pair of line segments using the following steps.

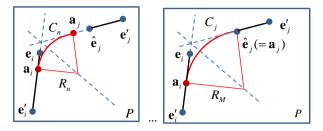


Figure 9. Interpolation of elbows.

First, a pair of line segments  $l_i = \overline{\mathbf{e}_i \mathbf{e}_i^l}$ ,  $l_j = \overline{\mathbf{e}_j \mathbf{e}_j^l}$ , which satisfy  $|\mathbf{q}_i \cdot \mathbf{q}_j| < \tau_{skew}$  and both distances between  $\mathbf{e}_i$  and  $\mathbf{q}_i$ , which are less than  $\tau_l$ , are selected. Then, a plane passing  $\mathbf{e}_i$ ,  $\mathbf{e}_i'$ , and  $\mathbf{e}_j'$  is defined, and  $\mathbf{e}_j$  is projected onto the plane. A circle  $C_i$ , which is tangent to two lines  $L_i$ ,  $L_j$ , and  $\mathbf{e}_j'$ , and the other circle  $C_j$ , which is tangent to  $L_i$ ,  $L_j$ , and  $\hat{\mathbf{e}}_j$ , are calculated.  $L_i$  and  $L_j$  are lines passing  $l_i$  and  $l_j$ , and  $\hat{\mathbf{e}}_j$  is the projected edge point from  $\mathbf{e}_j$ . By comparing the radii  $R_i$  of  $C_i$  to  $R_j$  for  $C_j$ , the larger value is adopted as the maximum value of radius  $R_M$ .

Next, as shown in Figure 9, the radius of the tangential circle C is changed step by step between 0 and  $R_M$ , and for each step, line-arc-line segments  $l_{n,i} = \overline{\mathbf{a}_i \mathbf{e}_i'}$ ,  $a_n = \overline{\mathbf{a}_i \mathbf{a}_j}$ , and  $l_{n,j} = \overline{\mathbf{a}_j \mathbf{e}_j'}$  are calculated. Then, two cylinder surfaces and a part of a torus surface whose axes coincide with the three segments are considered, and the distance error between scanned points and the surfaces are calculated. Finally, a combination of the segments that has the least distance error is selected.

# 5.6 Removing isolated axis segments

After recognizing the axes of the piping system, some nonpipe objects, such as supporting materials, are still misclassified into the piping system. To remove them, based on the connectivity, if a segment does not connect any other segments and the length of the segment is less than a threshold  $\tau_{iso}$ , the segment is removed from the points of the piping system.

#### 6. Results

### 6.1 Experimental results

As one of the recognition experiments, as shown in Figure 10, a large point cloud was scanned from a real oil rig by a terrestrial laser scanner (Cyra Technologies CYRAX2500). It has 4,524,324 points. The thresholds used were  $r_{max} = 0.20$ ,  $r_{min} = 0.03$ ,  $r_1 = 0.05$ ,  $\tau_1 = 0.30$ , and  $\tau_{iso} = 0.3m$ . Other necessary parameter values were taken as the ones recommended in sections 3, 4 and 5.

The total recognition process took 1234.8 sec on a PC (Xeon E5520 2.27GHz), which included kd-tree construction (20.8 sec.), creating grid cells (2.7 sec.), estimating the normal vector (28.6 sec.), extracting points on a piping system

(837.1 sec.), estimating pipes on a piping system (319.5 sec.), estimating parameters of pipes (13.9 sec.), and recognizing the connection relationship (6.2 sec.).

The recognition results of the straight pipes, elbows, and junctions in the piping system are shown in Figure 10. The green cylinder expresses a straight pipe, the red torus expresses an elbow, and the blue cylinders express a junction.

The recognition accuracies of the straight pipes and the connecting parts are also summarized in Table 1. The true classes of the elements were counted by observation of the point cloud data. The recognition rate of the straight pipe was about 90%, that of the elbows was 92%, and that of junctions was 87%. Compared to the recognition rate of our former study [12], the recognition rate of straight pipes, elbows, and junctions were all improved greatly. The reason for this improvement was considered to be that by introducing the step of recognizing pipes in a piping system, almost all parts of pipes could be exhaustively extracted and recognized.

As a second example of recognition shown in Figure 11, a large point cloud was scanned from a chemical plant by a terrestrial laser scanner. It had 98,624,221 points. The thresholds used were  $r_{max} = 1.25$ ,  $r_{min} = 0.05$ ,  $r_1 = 0.03$ ,  $\tau_1 = 0.70$ , and  $\tau_{iso} = 0.30m$ . The other parameter values were taken as the ones recommended in sections 3, 4, and 5.

The total recognition process took 33821.5 sec., which included kd-tree construction (751.1 sec.), creating grid cells (20.6 sec.), estimating the normal vector (618.1 sec.), extracting points on a piping system (20051.2 sec.), estimating pipes on a piping system (7952.9 sec.), estimating parameters of pipes (4332.2 sec.), and recognizing the connection relationship (4.6 sec.).

The recognition results of the straight pipes, elbows, and junctions in the piping system are shown in Figure 11. The recognition accuracies of the straight pipes and the connecting parts are also summarized in Table 2. The true classes of the elements were given by the ground truth CAD data of the plant, which had been manually modeled by a skilled operator. The recognition rate of the straight pipe was about 86%, that of elbows was 88%, and that of junctions was 71%.

#### 6.2 Guideline of the parameters

In recognition of the piping system, five threshold values used are  $r_{max}$ ,  $r_{min}$ ,  $r_1$ ,  $\tau_1$  and  $\tau_{iso}$ . Selection criteria of these thresholds are classified into the logical criteria and trial-and-error criteria:

 $r_{max}$  and  $r_{min}$  are the maximum and minimum thresholds for the radius of recognized pipes.  $r_{max}$  should be set a bit larger from the maximum radius of the pipes in the scanned environment, and  $r_{min}$  should be set a bit lower than their minimum radius. These parameters can be set based on the estimated maximum or minimum pipe radius obtained from observing the scanned points of the piping system.

 $r_1$  is the radius of the local search area used in region growing.  $r_1$  should be set so that the search area includes at least one neighboring point. In our experiments,  $r_1$  was

set to include about 10 points in the search area on a pipe which had the lowest density point.

 $au_1$  is the threshold for the maximum distance between the endpoints of two proximal axis segments to be connected. Also, its appropriate value should be selected with trial and error.

 $au_{iso}$  is the threshold for the maximum length of an isolated axis segment to be removed. To examine the effects of changing  $au_1$  and  $au_{iso}$ , the results for the partial point clouds (440,033 points) of the oil rig are compared and shown in Figures 12 and 13 with changing  $au_1$  and  $au_{iso}$ . The thresholds used were  $au_{max}$ =0.20,  $au_{min}$  = 0.03 and  $au_1$  = 0.05 $au_1$ , and  $au_1$  and  $au_{iso}$  were changed to 0.10, 0.30, and 1.50 m. The recognition rates of the straight pipes and the connecting parts are also summarized in Tables 3 and 4.

As shown in Figure 12 and Table 3, if  $\tau_1$  is selected to be much smaller than the connected pipe radius ( $\tau_1 = 0.10m$ ), most junction parts are mis-recognized as elbow parts. To avoid these situations, it is better to set  $\tau_1$  to about at least 3-4 times the size of the connected pipe radius. In contrast, if  $\tau_1$  becomes larger than that ( $\tau_1 = 1.50m$ ), some false pairs of segments are connected. It is difficult to find a maximum range of  $\tau_1$  because it greatly depends on the range of the occluded regions and the structure of the piping system. Therefore, so far,  $\tau_1$  has been set with trial and error.

On the other hand, as shown in Figure 13 and Table 4, if  $\tau_{iso}$  is selected to be too small  $(\tau_1=0.10m)$ , some nonpipe objects still remain as pipes. In contrast, if  $\tau_{iso}$  becomes too large  $(\tau_1=1.50m)$ , some isolated straight pipes disappear. Unfortunately, it is difficult to find the optimal value of  $\tau_{iso}$  in an *a priori* manner, because it greatly depends on the structure of the piping system. Therefore, so far,  $\tau_{iso}$  is set with trial and error manner. However, as shown in the recognition results of the experiment, the setting of  $\tau_{iso}$  is not necessarily strict.  $\tau_{iso}$  can be set to any value less than the length where straight pipes can be distinguish from non-pipe objects. The results of the experiment showed that  $\tau_{iso}$  did not affect the recognition rates of the piping system much, if this condition is satisfied.

# 6.3 Discussion

In these results, the lowest recognition rates of the straight pipes, elbows, and junctions were about 86%, 88%, and 71% respectively.

The recognition rates of the related work [6] were 97%, 100%, and 86%. However, their input point clouds only consisted of a cloud on the pipes, and the amount, density, and complexity of the piping objects were much smaller than ours. On the other hand [16, 17], the recognition rates of the cylindrical surface of industrial tools and commodities range from 75% to 90%. Considering the complexity of the input point clouds, our recognition rates were considered to be appropriate.

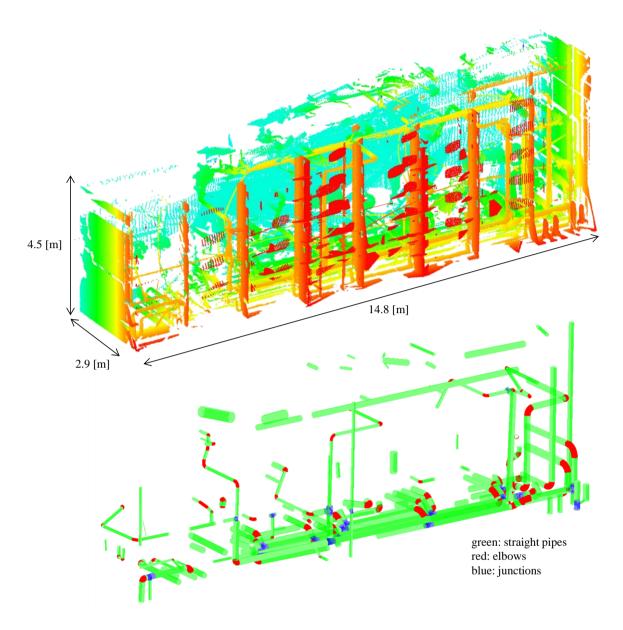


Figure 10. The original scanned points (upper) and the recognition result of the piping system (lower) of an oil rig.

Table 1. Accuracy of the piping system recognition of an oil rig.

# (a) Pipe

#### 

				Result of			False	
			Auto	Recognition				
		Recognitio				False	False	
		Elbow Ju	Junction	Not Recognized	Total	n	Negative	Positive
						Rate [%]	[%]	[%]
	Elbow	65	0	5	70	92.8	7.2	21.4
True class	Junction	1	20	2	23	87.0	13.0	13.0
$\frac{\mathrm{Tr}}{\mathrm{cl}_{\mathcal{E}}}$	Others	16	3	-	-	-	-	-
	Total	84	23	-	-	-	-	-

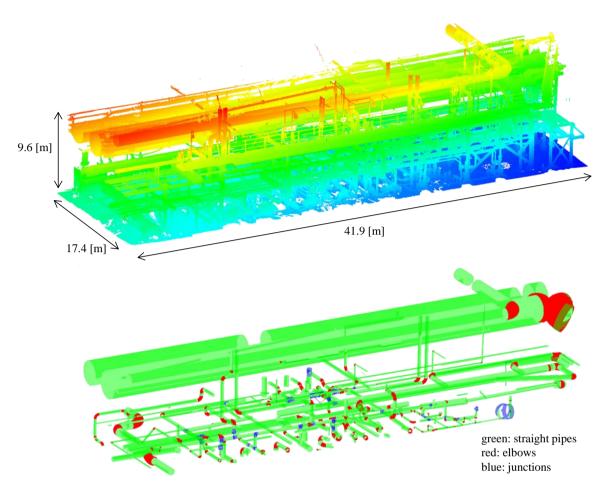


Figure 11. The original scanned points (upper) and the recognition result of the piping system (lower) of a chemical plant.

Table 2. Accuracy of the piping system recognition of a chemical plant.

# (a) Pipe

			Res	False				
			Automatic	Reco	gnition	Recognition		
			N7 .		D :::	False	False	
		Pipe	Not Recognized	Total	Recognition Rate [%]	Negative	Positive	
			Recognized		Rate [%]	[%]	[%]	
o s	Pipe	159	24	183	86.9	13.1	62.7	
True Class	Other	267	-	-	-	-	-	
7	Total	426	-	-	-	-	-	

		Result of					raise	
Automatic Recognition						Recognition		
				NI-4		D '4'	False	False
		Elbow	Junction	Not Recognized	Total	Recognition Rate [%]	Negative	Positive
							[%]	[%]
	Elbow	80	0	10	90	88.9	11.1	62.4
True Class	Junction	5	20	3	28	71.4	28.6	51.2
Ę	Others	126	21	-	•	-	-	-
	Total	211	41	-		-	-	-

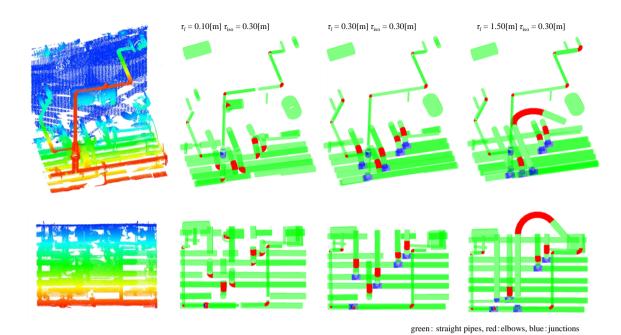


Figure 12. A part of the original scanned points (left) and the recognition results of the piping system (right) of an oil rig.

Table 3. Accuracy of the piping system recognition of an oil rig.

 $\tau_l = 0.10[\text{m}] \ \tau_{iso} = 0.30[\text{m}]$ Result of False Automatic Recognition Recognition False False Not Recognition Negative Positive Rate [%] Recognized [%] [%] 10 70.6 34 29.5 56.4 Total

(a) Pipe

					False				
				Auto	Recognition				
				Recognitio					False
			Elbow	Junction	Not Recognized	Total	n	Negative	Positive
				Recognized		Rate [%]	[%]	[%]	
		Elbow	7	0	5	12	58.3	41.7	50.0
	True Class	Junction	5	1	1	7	14.3	84.7	0.0
True	Others	2	0	-	1	-	-	-	
		Total	14	1	-		-	-	-

$ au_l =$	$\tau_l = 0.30[\text{m}] \ \tau_{iso} = 0.30[\text{m}]$										
-		Fal	lse								
			automatic	recog	gnition	recogn	nition				
		False	False								
		Pipe	Not recognized	Total	Recognition rate [%]	negative	*				
			B			[%]	[%]				
o o	Pipe	32	2	34	94.1	5.9	28.9				
True	Other	13	-	ı	-	-	1				
	Total	45	-	-	-	-	-				

				Result of	•		False	
			Auto	on	Recognition			
				False	False			
		Elbow	Junction	Not Recognized	Total	Recognition Rate [%]	Negative	Positive
				Recognized		Kate [%]	[%]	[%]
	Elbow	11	0	1	912	91.7	8.3	0.0
True Class	Junction	0	6	1	7	85.7	14.3	0.0
Tr Cla	Others	0	0	1	ı	1	1	-
	Total	11	6	-	-	-	-	-

$\tau_l = 1.50 [\text{m}] \ \tau_{iso} = 0.30 [\text{m}]$										
		Fal	se							
			Automatic	Reco	gnition	Recog	nition			
			Not		Dagagnition	False	False			
		Pipe	Not Recognized	Total	Recognition Rate [%]	Negative	Positive			
			Recognized		Kate [%]	[%]	[%]			
0 ×	Pipe	23	11	34	67.6	32.4	45.2			
True Class	Other	19	-	-	-	-	-			
	Total	42	-	-	-	-	-			

					False				
				auto	recognition				
					Not		Recognitio	False	False
			ElbowJunction	Junction	NOI Decognized	Total	n	Negative	Positive
					Recognized		Rate [%]	[%]	[%]
		Elbow	10	0	2	12	83.3	16.7	9.1
ne	ass	Junction	0	5	2	7	71.4	28.6	28.6
T	True Class	Others	1	2	1		1	-	-
		Total	11	7	-	-	-	-	-

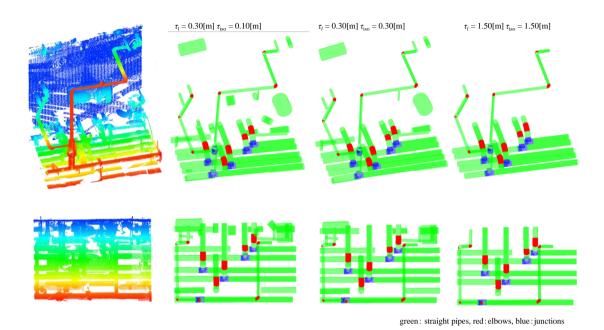


Figure 13. A part of the original scanned points (left) and the recognition results of the piping system (right) of an oil rig.

Table 4. Accuracy of the piping system recognition of an oil rig.

 $\tau_l = 0.30[\text{m}] \ \tau_{iso} = 0.10[\text{m}]$ Result of False Automatic Recognition Recognition False False Not Recognition Negative Positive Recognized Rate [%] [%] [%] Pipe 94.1 5.9 37.6 Other Total 51

(a) Pipe

 $\tau_l = 0.30[\text{m}] \ \tau_{iso} = 0.30[\text{m}]$ 

· 1 —	0.50[1	11] 0/5	g — 0.50[III]				
		False					
			Automatic	Recognition			
		Pipe	Not Recognized	Total	Recognition Rate [%]	False Negative [%]	False Positive [%]
v v	Pipe	32	2	34	94.1	5.9	28.9
True	Other	13	1	ı	1	-	1
	Total	45	-	-	-	-	-

$t_l = 0.30[\Pi$	$\iota_{iso} - 1$ .	Julii
		Result o

			Res	False			
			Automatic	Recognition			
			Not		Dagognition	False	False
			Not Recognized	Total	Recognition Rate [%]	Negative	Positive
			Recognized		Kate [%]	[%]	[%]
0 0	Pipe	28	6	34	82.3	17.7	0.0
True	Other	0	-		1	-	-
	Total	28	-	-	-	-	-

				False				
			Auto	Recognition				
				NT /		Recognitio	False	False
			Junction	Not Recognized	Total	n	Negative	Positive
				Recognized		Rate [%]	[%]	[%]
	Elbow	11	0	1	12	91.7	8.3	0.0
True	Junction	0	6	1	7	85.7	14.3	0.0
Th Cla	Others	0	0		í		-	-
	Total	11	6	-	í	-	-	-

	Result of						False	
		Automatic Recognition					Recognition	
				Not		Recognitio	False	False
		Elbow	Junction	Not Recognized	Total	n	Negative	Positive
						Rate [%]	[%]	[%]
True	Elbow	11	0	1	12	91.7	8.3	0.0
	Junction	0	6	1	7	85.7	14.3	0.0
	Others	0	0	-	-	-	-	-
	Total	11	6	-	-	-	-	-

	Result of						False	
		Automatic Recognition					Recognition	
				Not		Recognitio	False	False
		Elbow	Junction	Not Recognized	Total	n	Negative	Positive
						Rate [%]	[%]	[%]
True Class	Elbow	11	0	1	12	91.7	8.3	0.0
	Junction	0	6	1	7	85.7	14.3	0.0
	Others	0	0	-	-	-	-	-
	Total	11	6	-	-	-	-	-

If there is any pipe with a large radius, the range of the pipe radius to be recognized increases. Therefore, non-pipe objects which are misrecognized as pipes increase. These mis-recognized objects can be connected to other true pipes in the step of complementing segments of straight, junction, and elbow parts. Therefore, the accuracy of the piping system recognition becomes worse. For this reason, the accuracy of the recognition of the chemical plant became lower than that of the oil rig in our results.

Also, in both of these results, false positive recognition in straight pipes, junctions, and elbows was not uncommon. This was because the partial shape of some non-pipe objects, such as H-beams, had a similar shape to that of a cylinder, and those parts were misrecognized as pipes.

These two problems can be reduced by cross-sectional analysis. This is left for future work.

#### 7. Conclusions

A new algorithm was proposed that could automatically recognize a piping system from registered laser-scanned points of a plant. Normal-based region growing allows one to exhaustively extract the points on a piping system and to segment the points of each pipe. Cylinder fitting could extract only the point, including straight pipes, elbows, and junctions. Tracing axes, fitting arc-line segments, and the complementing of segments could recognize the position of straight pipes, elbows, and junctions and their connection relationship.

The recognition accuracy was verified for large-scale point clouds of actual plants, and the results showed the recognition rate of the straight pipes, elbows, and junctions exceeded 86%, 88%, and 71% respectively, and the effectiveness of the proposed algorithm for the reverse engineering of the plants was clarified.

However, the recognition accuracy of junction parts was low. Also, false positive recognition was not uncommon. The improvement of this accuracy is left for future work.

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