Evaluation of the seismic performance of special moment frames using incremental nonlinear dynamic analysis

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Abstract. In this paper, the incremental nonlinear dynamic analysis is used to evaluate the seismic performance of steel moment frame structures. To this purpose, three special moment frame structure with 5, 10 and 15 stories are designed according to the Iran's national building code for steel structures and the provisions for design of earthquake resistant buildings (2800 code). Incremental Nonlinear Analysis (IDA) is performed for 15 different ground motions, and responses of the structures are evaluated. For the immediate occupancy and the collapse prevention performance levels, the probability that seismic demand exceeds the seismic capacity of the structures is computed based on FEMA350. Also, fragility curves are plotted for three high-code damage levels using HASUS provisions. Based on the obtained results, it is evident that increase in the height of the frame structures reduces the reliability level. In addition, it is concluded that for the design earthquake the probability of exceeding average collapse prevention level is considerably larger than high and full collapse prevention levels.9.

Keywords: incremental nonlinear dynamic analysis; reliability of structures; fragility curves; seismic demand and capacity; performance level; confidence level

1. Introduction

While buildings are usually designed for seismic resistance using elastic analysis, most will experience significant inelastic deformations under large earthquakes (Khorramian et al. 2015, Khanouki et al. 2016). The increasingly advancements in computer technologies have provided the possibility of developing strength numerical methods for dynamic nonlinear analysis of structures (Arabnejad Khanouki et al. 2010, Arabnejad Khanouki et al. 2011, Shahabi et al. 2016). As such, the availability of high-quality software, such as OPENSEES, an open-source freely available software, provide the means for predicting structural response beyond the elastic range, including inelastic material property, hysteretic behavior, and panel zone modeling in steel structures. Today, the nonlinear response of a structure subjected to a suite of ground motions is predictable by a relatively new approach socalled Incremental Dynamic Analysis (IDA) (Vamvatsikos and Cornell 2002, Jalali et al. 2012, Feizi et al. 2015, Tahmasbi *et al.* 2016, Toghroli *et al.* 2016) in that response history analyses of a given structure is calculated in a systematic manner which will be discussed later. This

2. Incremental nonlinear dynamic analysis (IDA)

As mentioned earlier, IDA is an approach to assess the nonlinear behavior of a structure subjected to a suite of strong motions. The structure is repeatedly analyzed for each motion scaled for gradually increasing the applied strong motion time-history and calculating the corresponding certain damage measures (DM) and plotting against earthquake intensity measure (IM) to produce "IDA Curves". This concept is suggested by Bertero in 1977 (Kelly and Tasi 1984, Shariati *et al.* 2012, Metin Kose and Kayadelen 2013, Mohammadhassani *et al.* 2013, Mohammadhassani *et al.* 2014, Toghroli Ali *et al.* 2014, Safa *et al.* 2016) and developed further by several

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method is widely used for seismic evaluation of nonlinear response of structures subjected to a suite of severe strong motion (Niknam *et al.* 2007, Shariati *et al.* 2010, Jalali *et al.* 2012, Farahi and Mofid 2013, Azimi *et al.* 2015, Lee and Kim 2015, Shariati *et al.* 2015, Shah *et al.* 2016). In this article IDA approach together with fragility analysis are used to evaluate the seismic performance of special moment frames.

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Table 1	(roce	sections	for al	l members	of models
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	15 Story Structure			10 Story Structure			5 Story Structure	
Stories	Columns	Beams	Stories	Columns	Beams	Stories	Columns	Beams
1,2,3	Box 450×450×20	IPE 450	1,2	Box 420×420×20	IPE 450	1,2,3	Box 180×180×20	IPE 360
4,5,6	Box 380×380×20	IPE 450	3,4,5,6	Box 380×380×15	IPE 450	4	Box 160×160×16	IPE 360
7,8,9	Box 380×380×15	IPE 450	7,8,9	Box 280×280×10	IPE 360	5	Box 160×160×16	IPE 300
10,11,12	Box 280×280×10	IPE 360	10	Box 280×280×10	IPE 330			
13,14,15	Box 220×220×10	IPE 300						

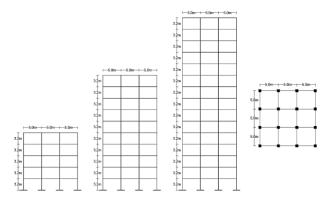


Fig. 1 Studied structural models

investigators such (Mohammadhassani *et al.* 2014, Kelly and Tasi 1984, Nassar and Krawinkler 1991, Bazzurro and Cornell 1994, Bazzurro and Cornell 1994, Luco and Cornell 1998, Mehanny and Deierlein 1999, Luco and Cornell 2000, Yun *et al.* 2002, Hakim *et al.* 2011, Mohammadhassani *et al.* 2013, Mohammadhassani *et al.* 2014). Traditionally, damage measure may be in the forms of maximum inter-story drift, maximum displacement, base shear, Park and Ang damage index, plastic hinge rotation and so on (Bazzurro and Cornell 1994, Bazzurro and Cornell 1994, Luco and Cornell 1998, Luco and Cornell 2000, Daie *et al.* 2011, Shariati *et al.* 2015, Shariati and Schumacher 2016).

3. Appropriate selection of IM and DM

The intensity and density measures should be selected based on the general behavior structure and its type of service. Nowadays, peak ground acceleration, PGA, and the first mode spectral acceleration, Sa (T1, 5%) are widely used as IM. Between these IMs, the later leads to lower dispersal of IDA data sets and is preferred more than PGA.

Like IM, selection of DM depends on the target of analysis. For example, maximum roof accelerations are appropriate criteria for judgment about damage level of nonstructural components. On the other hand, maximum inter-story displacement (drift), $\theta_{\rm max}$ (Maximum relative displacement of all stories from full time history analyses) is a suitable criterion for the global dynamic instability and higher performance levels. Therefore, in this study Sa (T1, 5%) and $\theta_{\rm max}$ are selected as IM and DM.

4. Structural models

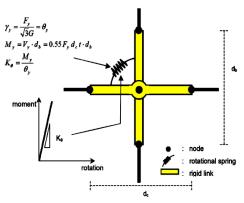


Fig. 2 Model of the panel zone

Table 2 Uniform live and dead loads

Load Type	Story	Intensity (kg/m ²)		
Dood	Roof	540		
Dead	Other Stories	650		
T :	Roof	150		
Live	Other Stories	200		

In this study, three 5, 10 and 15 story steel building are modeled. The structural system of these buildings is special moment frame. Fig. 1 and Table 1 shows the structures and their plan. They have three 5 meters' spans in both directions, and the story height is 3.2 meters.

The buildings are loaded gravitationally based on the 6th volume of Iran's national building code. Table 2 lists intensities of uniform dead and live loads applied to stories of the structures.

Due to the importance of the panel zone and its characteristic in the seismic behavior of structures, its effects are also modeled in this work. Fig. 2 demonstrate the utilized model and Fig. 3 related shear-rotation curves.

5. Analysis

According to the OpenSees an open-source freely available software, accuracy in analysis time history and pushover, modeling in this program is done. In the archive materials presented in the software, there are two types of steel material.

Material Steel 01 supplier elastoplastic curve by consider hardening can be made a model of behavior but according to the Fig. 4(a) transition zone between elastic and plastic phases is steep angle and fracture.

Table 3 Natural periods of structures in seconds

Mode No.	5 Story building	10 Story building	15 Story building
1st mode	1.219 s	2.000 s	2.574 s
2 nd mode	0.441 s	0.747 s	1.047 s

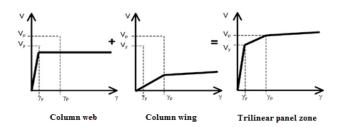


Fig. 3 Shear force-rotation curves

Table 4 Pushover data

	V _{max} (KN)	0.8V _{max} (KN)	$\delta_{y.eff}$ (m)	δ_u (m)	$\Omega = \frac{V_{\text{max}}}{V}$
5 Story Structure	295.0	236.0	0.25	0.67	$\Omega_5 = 7.1$
10 Story Structure	278.0	222.4	0.61	0.99	$\Omega_{10} = 7.5$
15 Story Structure	173.0	134.8	0.65	2.45	$\Omega_{15} = 8.4$

What material Steel 02 Distinguished from other material Steel 01, is transition area from elastic phase to plastic phase with a soft curve. This advantage is not only for the behavior of material closer to the real behavior of steel, but also will be effects positive in the process of structural analysis and received reasonable results, Fig. 4(b). To increase the accuracy of calculations of structural members and frames are divided into smaller pieces modeled by nonlinear displacement-based beam-column elements.

5.1 Modal analysis

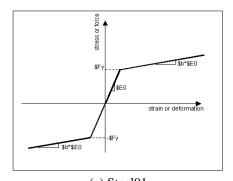
The purpose of modal analysis is to determine natural periods of vibration of the structures. The results for the first two modes of vibration are presented in Table 3.

5.2 Nonlinear static analysis (Pushover)

A simpler option to assess the performance of structures is pushover analysis or simplified nonlinear static analysis. This method assumes that the response of a structure can be predicted by the first or the first few modes of vibration. It involves the incremental application of loading that follows some predetermined load pattern until the failure modes of the structure can be identified thus producing a force-displacement relationship or capacity curve, which gives a clear indication of the nonlinear response. Figs. 5,6,7 and Table 4 show the pushover curves for the three 5, 10, and 15 story steel structures.

As seen in Table 4, ultimate values roof displacement and over strength for 15 Story structure is far more values of 5 story structure. (FEMA 695).

5.3 Incremental nonlinear dynamic analysis (IDA)



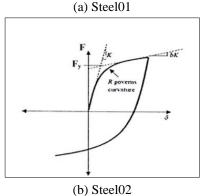


Fig. 4 Nonlinear materials in OpenSees

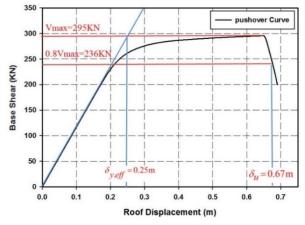


Fig. 5 Pushover diagrams 5 story structure

5.3.1 Selected ground motion

To perform incremental nonlinear analysis, 15 different strong motion records are selected as listed in Table 5. The site soil types (soil type II based on the Iranian seismic code termed code No. 2800), the closest distances to the causative event, the magnitudes of strong motions in terms of Richter scale, their components, and their PGAs are shown in the Table 5. The selected three steel structures are subjected to these ground motions following the IDA procedures which will be explained later.

5.3.2 Limit states based on the IDA curves

Within recent years, three performance levels (limit states) have been introduced representing damage levels corresponding to three hazards leveled target events, i.e., Immediately occupancy (IO), Life safety (LS), and Collapse prevention (CP).

Table 5 Ground motion records used for IDA

No.	Event	Station	Soil1	R2 (km)	M3	$\phi^{\circ 4}$	PGA (g)
1	1994Northrige,	Castaic-Old Ridge Route24278	В	22.6	6.7	090	0.568
2	1994Northrige,	LA-116th St School14403	В	41.9	6.7	090	0.208
3	1994Northrige,	Malibu-Point Dume Sch24396	В	35.2	6.7	090	0.130
4	1994Northrige,	LA-Obregon Park24400	В	37.9	6.7	090	0.355
5	1971San Fernando,	Palmdale Fire Station262	В	25.4	6.6	210	0.151
6	1971San Fernando,	Pasadena-CIT Athenaeum80053	В	31.7	6.6		0.088
7	1971San Fernando,	Upland-San Antonio Dam287	В	58.1	6.6	015	0.058
8	1971San Fernando,	Wrigjtwood-6074Park Dr290	В	60.3	6.6	025	0.061
9	1979Imperial Valley,	Cerro Prieto6604	В	26.5	6.5	147	0.169
10	1989Loma Prieta,	Fremont-Mission San Jose57064	В	43.0	6.9		0.124
11	1989Loma Prieta,	SAGO South-Surface47189	В	34.7	6.9	261	0.073
12	1994Northrige,	Lnglewood-Union Oil14196	В	44.7	6.7		0.091
13	1989Loma Prieta,	Belmont-Envirotech58262	В	49.9	6.9		0.108
14	1989Loma Prieta,	Berkeley LBL58471	В	83.6	6.9		0.057
15	1989Loma Prieta,	Golden Gate Bridge1678	В	85.1	6.9	270	0.233

Table 6 Summary of the performance capacity levels of the three structures

	5 Story Structure 10 Story Structure						Structu	tructure 15 Story Structure										
	Sa	(T1,59	%)		$\theta_{ m max}$		Sa	(T1,59	%)		$\theta_{ m max}$		Sa	(T1,59	%)		$\theta_{ m max}$	
	IO	CP	GI	IO	CP	GI	IO	CP	GI	IO	CP	GI	IO	CP	GI	IO	CP	GI
16%	0.20	0.95	2.50	0.02	0.050	$+\infty$	0.28	1.10	1.30	0.02	0.100	$+\infty$	0.18	0.29	2.00	0.02	0.049	$+\infty$
50%	0.19	0.92	2.00	0.02	0.052	$+\infty$	0.23	0.64	1.10	0.02	0.061	$+\infty$	0.10	0.30	1.20	0.02	0.070	$+\infty$
84%	0.18	0.72	1.53	0.02	0.043	$+\infty$	0.15	0.73	1.00	0.02	0.100	$+\infty$	0.08	0.20	1.00	0.02	0.060	$+\infty$

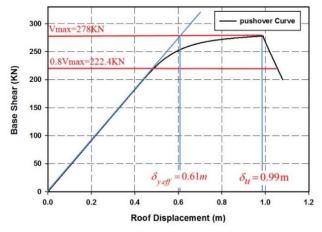


Fig. 6 Pushover diagrams 10 story structure

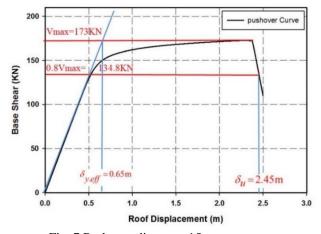


Fig. 7 Pushover diagrams 15 story structure

Today, the three seismic performance levels of structures against the three hazard leveled events i.e., strong motion with 50% probability of exceedance (PE) in 50 years for IO, PE of 10% in 50 years for LS, and PE of 2% in 50 years for CP may be evaluated using the IDA approach.

Each of these performance level evaluations could give some useful information on structural damage level, underlying assumptions and application limits In this study, FEMA350 requirements are used to calculate and evaluate the performance levels of the selected structures.

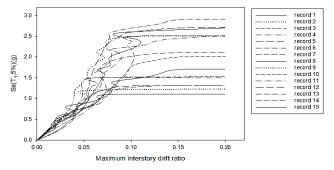


Fig. 8 IDA curves 5 story structure

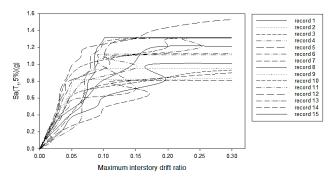


Fig. 9 IDA curves 10 story structure

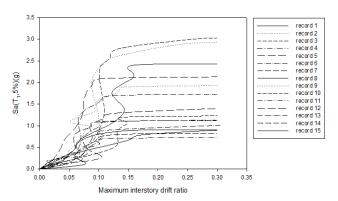


Fig. 10 IDA curves 15 story structure

Figs. 8, 9, 10 present the calculated IDA curves for the three steel structures.

IDA curves depict wide range of structural behavior, and considerable dispersion exists for multiple ground motions as seen in the figures. Therefore simplification methods are required to reach the compact responses permitting their uncertainties to be valuable (Niknam and Eskandari 2010). For this purpose, the three statistical-based curves 16%, 50%, and 84% levels as the result of applying the selected suite of strong motion over each of the three structures are extracted from the plotted IDA curves (Vamvatsikos and Cornell 2002, Yun *et al.* 2002) and the results are depicted as shown in Figs. 11, 12, 13.

The criteria identifying each of the abovementioned structure's performance levels, (IO), (LS), and (CP), in terms of spectral acceleration at the first mode period Sa(T1,5%)g, presented by FEMA350 are used to evaluated the seismic performance of the three structures. Based on FEMA 350 the maximum structure's capacity corresponding to the immediate occupancy, the collapse prevention, and the ultimate capacity performance levels for special moment frame structures are 2%, 10%, and 20% respectively. These limit states are calculated using the prepared compact IDA curves and summarized as listed in Table 6.

For example, as seen in Table 6, the collapse performance level (CP) of the 5 story structure, in term of spectral acceleration at T1 with five percent dumping, identified by the median compact curve (Fig. 11) are Sa(T1, 5%)=0.92 g or the maximum rotation of $\theta_{\rm max}$ =0.052 Radian.

In other words, at these values, a record equal to the 50

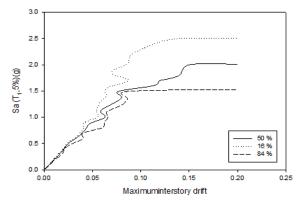


Fig. 11 Compact IDA curves 5 story structure

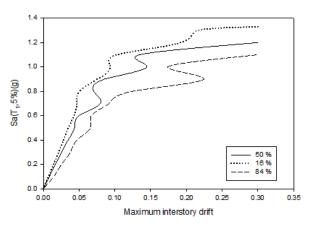


Fig. 12 Compact IDA curves 15 story structure

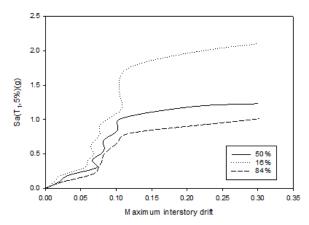


Fig. 13 Compact IDA curves 10 story structure

percent of records brings the 5 story building to collapse prevention level. These limits for IO level are Sa(T1, 5%)=0.19 g or $\theta_{\rm max}$ =0.02 Radian and GI level occurs at Sa(T1, 5%)=2.00 g.

6. The confidence limits of the structures

Unfortunately, it is not possible to calculate capacity and seismic demand of structures deterministically, because of the existing uncertainties in prediction of ground motions, structural response and damage resistance capacity of structures (Tavakoli and Ghafory-Ashtiany 1999).

Table 7 Computed capacities based on relative displacements

		G 11	T 11
		Collapse	Immediate
	Structure	Prevention Level	Occupancy Level
	Structure	at 2/50 Hazard	at 50/50 Hazard
		Level	Level
	5 Story Structure	0.100	0.020
Global	10 Story Structure	0.100	0.020
	15 Story Structure	0.085	0.020
	5 Story Structure	0.070	0.020
Local	10 Story Structure	0.070	0.020
	15 Story Structure	0.070	0.020

Prediction of seismic performance of structures is very complex. This complexity is not only due to various affecting parameters but also because of physical behavior and available uncertainties. Some of the major causes of available uncertainties are Inability to exactly model physical behavior, lack of accurate information to define structural characteristics or to predict future ground motions. Especially, prediction of future ground motions based on previous ones introduces large uncertainties to the estimated seismic demand of structures.

The structural characteristic may also be different with assumptions of designer or change during earthquakes. Also, Analysis methods could not be able to model structural behavior because of their simplifications and approximations exactly. To take these possible uncertainties into account for evaluation and prediction of the seismic behavior of structures, one can compute reliability coefficients as follows

$$\lambda = \frac{\gamma \cdot \gamma_a \cdot D}{\varphi \cdot C} \tag{1}$$

In this equation, C and D are the median predicted capacity and demand of the structure, respectively. These parameters are derived from analysis of the structure. γ is the uncertainty coefficient of the seismic demand due to uncertainty in ground motion and structural response prediction. γ_a is the uncertainty coefficient of the analysis method and is a function of analysis method and ground motion intensity. Finally, φ is the over-strength coefficient that stands for uncertainties in the capacity prediction (Venture 1999).

Table 8 Computed demands based on relative displacements

	Collapse	Immediate
Structure	Prevention Level at	Occupancy Level at
	2/50 Hazard Level	50/50 Hazard Level
5 Story Structure	0.052	0.0081
10 Story Structure	0.061	0.0060
15 Story Structure	0.070	0.0093

6.1 Capacity of the structures

The capacity of the modeled structures for two performance level, namely IO and CP, and in the global and local level are evaluated and presented in Table 7.

6.2 Seismic demand of the structures

The seismic demand of structure is computed for the IO and CP performance levels by taking 2-50 and 50-50 hazard levels, respectively. The 2-50 hazard level means that likelihood of exceeding the certain ground motion intensity in 50 years is 2 percent. For the 50-50 hazard level, this probability is 50 percent. In the computation of the demand, median IDA curve and seismic hazard curve for Tehran (Tavakoli and Ghafory-Ashtiany 1999) are used. The obtained results are listed in the next table:

6.3 Computation of the confidence level (C.L)

Confidence level of the structure is computed based the calculated capacities and demands based on Table A-1 of FEMA350 code (Venture 1999). It should be noted that the derived values belong to the median IDA curve.

In this table C.L values depend on λ and K, β_{ut} . Values of λ is obtained based on the formula (1) and β_{ut} calculating from tables 4-11, 4-13 FEMA350.

K parameter (logarithmic slope of the hazard curve) calculate according to FEMA 350 code

$$k = \frac{Ln\left(\frac{H_{S1(10/50)}}{H_{S1(2/50)}}\right)}{Ln\left(\frac{S_{1(2/50)}}{S_{1(10/50)}}\right)} = \frac{1.65}{Ln\left(\frac{S_{1(2/50)}}{S_{1(10/50)}}\right)}$$
(2)

Table 9 Derived confidence level for CP performance level based on relative displacements

	Collapse prevention performance level at 2-50 hazard level											
Stru	ıcture	С	D	γ	γ_a	ϕ	λ	k	eta_{ut}	C.L %		
	5 Story	0.1	0.052	1.2	1.06	0.85	0.77	2.5	0.40	91		
Global	10 Story	0.1	0.061	1.2	1.06	0.85	0.91	2.2	0.40	75		
	15 Story	0.085	0.070	1.5	1.10	0.75	1.80	2.0	0.50	26		
	5 Story	0.07	0.052	1.5	1.06	0.85	1.10	2.5	0.35	56		
Local	10 Story	0.07	0.061	1.2	1.06	0.85	1.30	2.2	0.35	35		
	15 Story	0.07	0.070	1.5	1.10	0.75	2.20	2.0	0.40	10		

Collapse prevention performance level at 2-50 hazard level											
Strı	ıcture	С	D	γ	γ_a	φ	λ	k	β_{ut}	C.L %	
	5 Story	0.02	0.0081	1.4	1.02	1.0	0.57	3.0	0.2	0.99	
Global	10 Story	0.02	0.0060	1.4	1.02	1.0	0.42	2.8	0.2	0.99	
	15 Story	0.02	0.0093	1.4	1.04	1.0	0.67	2.6	0.2	0.98	
	5 Story	0.02	0.0081	1.4	1.02	1.0	0.57	3.0	0.3	0.99	
Local	10 Story	0.02	0.0060	1.4	1.02	1.0	0.42	2.8	0.3	0.99	
	15 Story	0.02	0.0093	1.4	1.04	1.0	0.67	2.6	0.3	0.95	

Table 10 Derived confidence level for IO performance level based on relative displacements

Table 11 Limiting values of relative displacement for various damage levels based on HASUS

Structure	Structure	High-code values for various levels							
Structure	type	Low	Moderate	Extensive	Complete				
5 Story	S1M*	0.0040	0.0080	0.020	0.0533				
10 Story	$S1H^*$	0.0030	0.0060	0.015	0.0400				
15 Story	$S1H^*$	0.0030	0.0060	0.015	0.0400				

^{*} S1: Steel moment frame

Due to the location of structures in Tehran; Probabilistic Seismic Hazard curve has been used in (Zolfaghari), Fig. 14. The results are presented in Tables 9 and 10.

According to the FEMA350 and its proposed confidence levels, in the immediate occupancy performance level, the performance of the structures is acceptable. But in the case of collapse prevention, an increase in the height of structure reduces its confidence level considerably. Therefore, it seems that 2800 code need revision for mid-rise and high-rise structure at CP performance level.

7. Evaluation of fragility curves based on HASUS requirements

Fragility curves are assumed in the form of log-normal probability distribution functions. To plot these functions only two parameters, namely mean and standard deviation, are needed. After determination of mean and standard deviation for each limit state, fragility curve can be plotted using the following equation

$$P[LS / x_i] = \phi \left[\frac{\ln x_i - \ln \overline{x}}{\beta} \right]$$
 (3)

In this relationship, p[], stands for the probability of a performance level (hear life safety performance level or LS). Xi represents one the earthquake parameters such as spectral acceleration (Sa). \bar{x} is average of relative displacement at desired spectral acceleration. B is the standard deviation and φ is the log-normal distribution function.

In this study, based on HASUS three damage level namely moderate, extensive and complete are considered. HASUS takes relative displacement of stories as a quantitative criterion for the performance level of the

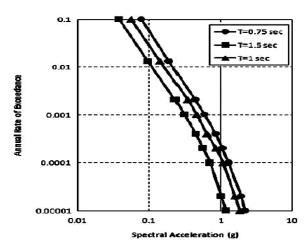


Fig. 14 Probabilistic seismic hazard curve in Tehran

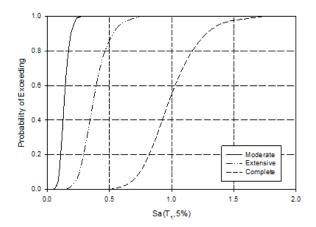


Fig. 15 Fragility curves 5 story structure

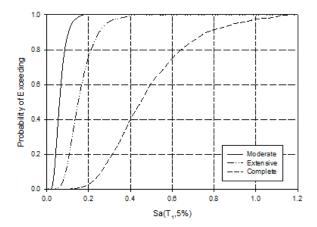


Fig. 16 Fragility curves 10 story structure

^{*} M: Mid-rise

^{*} H: High-rise

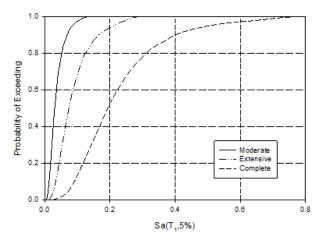


Fig. 17 Fragility curves 15 story structure

structure. Table 9 present HASUS high-code values of this criteria.

The high-code values are taken because according to 2800 code, the structures are located in high earthquake hazard area (Tehran). The resulted fragility curves are depicted in Figs. 14, 15, 16.

8. Conclusions

In this paper, seismic behavior of three special moment frame steel structure with 5, 10 and 15 stories are evaluated. These structures are designed based on the Iran's national building code for steel structures and the provisions for design of earthquake resistant buildings (2800 code). Due to the importance of the panel zone in the behavior of the moment frame structures, a nonlinear model which takes effects of the panel zone into account is utilized. Using nonlinear incremental dynamic analysis, the seismic demand and capacity of the structures under 15 different earthquakes are estimated. Also, confidence limits of the structures are determined based on FEMA350, and also fragility curves are presented according to the HASUS requirements. Needless to say, the obtained results are dependent on the selected ground motion records, structural characteristics, and other assumptions. Therefore, change in these parameters may vary the results.

From the derived median curves of the structures, it is evident that increase in the structure height leads to reduce in the equivalent elastic stiffness and the structure becomes more flexible. Comparing seismic demand and capacity of the structures at various performance levels, it is concluded that all of the structures provide an acceptable confidence level for the immediate occupancy performance at 50-50 hazard level. But in the collapse prevention level, only the 5 stor building exhibits sufficient reliability for the 2-50 hazard level. It necessitates revising requirement s of 2800 code for high-rise buildings at the collapse prevention performance level. Finally, the fragility curves reveal (for the design earthquake based on 2800 code), the probability of damage at the moderate level in more than extensive and complete damage levels. In future research, the study aims

to research on advanced concrete and composite structure for further investigations, as preliminary findings have been presented by other researchers (Mohammadhassani *et al.* 2014, Abdul Awal 1988, Abdul Awal 1992, Hossain and Awal 2011, Sinaei *et al.* 2011, Shehu and Awal 2012, Hafizah *et al.* 2014, Shariati *et al.* 2014, Muhammad *et al.* 2015, Roslan *et al.* 2016).

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